Special Meeting of the Board of Trustees

Recommendations for Audit High Priority Areas

July 12, 2022

Joseph Maleszewski
Vice President for Audit &
Chief Audit Executive
Topics of Discussion

01. FAMU Current Staffing Level and Structure

02. Resources Identified to Expand Division of Audit Capabilities for High Priority Areas

03. Modified 2022-2023 Division of Audit Work Plan
Identified Resources to support FY 2022-23 Work Plan

**PEOPLE**

**Staff Auditor**
- 1 Full-time position dedicated to providing advisory and audit services
- $60,000 plus fringe and benefits

**TECHNOLOGY**

**Gartner**
- Audit and ERM Business Platform provide tools, expertise, training, and advisory services
- $59,200
Staff Auditor

Funding Identified
June 2022
Base Salary: $60,000

Hiring Process
July 2022 – August 2022

Training
September 2022
Technology, IIA standards, DoA processes

Projects
October 2022
Initial Project
Spotlight on the DoA Work Plan for FY 2022-23

Financial Related
- Performance Based Funding Audit
- HEERF Funding Audit
- Athletics (Advisory Services)

Operational
- Ticket Office (Advisory Service)
- Controller’s Office (Advisory Service)
- HR Onboarding & Exit Process Review (Advisory Services)

Academics
- CAFS (Advisory Services)
- CoPPs, IPH (Advisory Services)
- Online Education and Support (Advisory Services)

Governance
- Culture Assessment (Audit)
- Privacy Framework (Advisory Services)
Spotlight on the DoA Work Plan for FY 2022-23

**IT/Cybersecurity**
- Security Plan Audit
- ERP Implementation (Advisory Services)
- NIST 800-171/NIST 800-53 (Advisory Services)
- DAVID Audit
- IT Governance and Structure (Advisory Services)

**ERM**
- ERM Education Program
- Risk Profiles
- Maturity Assessment
- Risk Response & Follow-Up Process Development
- Webpage Development
- ERMAC Activities

**Other**
- DoA Services (External Audits, Audit Follow-Up, Investigations, Management Requests, Training, Computer Forensic Lab, Committee Participation)
- Internal Audit Administration (Professional Development, QAIP, Risk Assessment, 23-24 Work Plan, Data Analytics, Annual Report)

**Deferred (FY 23-24)**
- Colleges/Schools – Academic and Business Operations
- Academic Honesty Framework

Deferred (FY 23-24) includes:
- Colleges/Schools – Academic and Business Operations
- Academic Honesty Framework
“At FAMU, Great Things Are Happening Every Day.”